



**BAADER HELVEA INC**

## **FINRA RULE 2267 – INVESTOR EDUCATION AND PROTECTION**

## **FINRA RULE 2266 – SIPC INFORMATION**

### **FINRA RULE 2267 – Investor Education and Protection**

In accordance with FINRA Conduct Rule 2267, FINRA offers investors information through the FINRA BrokerCheck Hotline number (800-289-9999) and on their website at [www.FINRA.org/brokercheck](http://www.FINRA.org/brokercheck). You may download an investor brochure that includes information describing FINRA BrokerCheck.

### **FINRA RULE 2266 – SIPC Information**

As a member of the Securities Investor Protection Corporation (SIPC) funds are available to meet customer claims up to a maximum of \$500,000 in cash and securities, with a \$250,000 cash maximum. This protection is provided by the Securities and Investors Protection Act, which is administered by SIPC and is subject to certain conditions and limitations, details of which are available upon request. Information about SIPC, including the SIPC brochure, may be obtained by contacting SIPC: SIPC Web site address ([www.sipc.org](http://www.sipc.org)) SIPC telephone number (202-371-8300).